

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Marina Chuenkova and Miercio A. Pereira
Application No.: 09/745,008 Group: 1649
Filed: December 20, 2000 Examiner: Sharon L. Turner
Confirmation No.: 7228
For: *T. Cruzei*-Derived Neurotrophic Agents and Methods of Use Therefor



CERTIFICATE OF MAILING OR TRANSMISSION	
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<u>Donna Boudreau</u> Typed or printed name of person signing certificate	

TRANSMITTAL OF ISSUE FEE PAYMENT AND
SMALL ENTITY STATEMENT

Mail Stop ISSUE FEE
Commissioner for Patents
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Sir:

This application has claimed the benefit of small entity status, and a new determination has been made that small entity status still applies. Therefore, in addition to payment of the Issue Fee in the amount of \$700.00 which is enclosed herewith, enclosed is a newly executed Small Entity Statement.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a))-NONPROFIT ORGANIZATION



DOCKET NUMBER: 1322.1028-001

Applicant or Patentee: Marina Chuenkova and Michael Almeida
Application or Patent No.: 09/745,008
Filed or Issued: December 20, 2000
Title: T. Cruze-derived Neurotrophic Agents and Methods of Use Therefor

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION Trustees of Tufts College
ADDRESS OF NONPROFIT ORGANIZATION Medford, Massachusetts 02155

TYPE OF NONPROFIT ORGANIZATION:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF
LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.27(a)(3) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

I hereby state that the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

Each additional person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING JOHN COSMOPoulos
TITLE IN ORGANIZATION OF PERSON SIGNING SENIOR MANAGER, BUSINESS DEVELOPMENT
ADDRESS OF PERSON SIGNING 136 HARRISON AVE, SUITE 75K-950, BOSTON, MA 02111
SIGNATURE John Cosmopoulos DATE 2/10/06